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PTO/SB/08A (04-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	09/761,670
		Filing Date	January 18, 2001
		First Named Inventor	Jeff S. Eder
		Art Unit	3624
		Examiner Name	Jagdish Patel
Sheet 1 of 2	Attorney Docket Number	AR - 16	

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code* (If known)			
<i>MP</i>		US- 5,224,034 - B1	06-29-1993	Katz, Paul	
		US- 5,237,946 - B1	08-17-1993	Kagami, Akari	
		US- 5,128,861 - B1	07-07-1992	Kagami, Akari	
		US- 5,237,495 - B1	08-17-1993	Moril, Hideki	
		US- 3,749,892 - B1	07-31-1973	Stenning, Patrick	
		US- 5,361,201 - B1	11-01-1994	Jost, Allen et al	
		US- 3,933,305 - B1	01-20-1976	Murphy, John Michael	
		US- 4,989,141 - B1	01-29-1991	Lyons, Richard	
		US- 5,317,504 - B1	05-31-1994	Nakayama, Mamoru	
		US- 5,414,621 - B1	05-09-1995	Hough, John	
		US- 5,193,055 - B1	03-09-1993	Brown, Gordon	
		US- 5,809,282 - B1	09-15-1998	Cooper, Dennis	
		US- 6,301,584 - B1	10-09-2001	Ranger, Denis	
		US- 5,737,581 - B1	04-07-1998	Keane, John	
		US- 5,812,988 - B1	09-22-1998	Sandretto, Michael	
		US- 6,125,355 - B1	09-26-2000	Bekaert et al	
		US- 5,191,522 - B1	03-02-1993	Bosco et al	
		US- 6,112,188 - B1	08-29-2000	Harnett, William	
		US- 6,134,536 - B1	10-17-2000	Shepherd, Ian	

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Examiner Initials*	Cite No.†	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁵
		Country Code*† Number*† Kind Code* (If known)				
MP MA		EP 0 587 290 A2	03-16-1994	Powers et al		
		GB 2 253 081 A	02-19-1992	Kalsha, Kabushi		

Examiner Signature	<i>J. S. Eder</i>	Date Considered	4/14/06
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. † Applicant's unique citation designation number (optional). ‡ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. § Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ¶ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ** Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. †† Applicant is to place a check mark here if English language translation is attached.

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Substitute for form 1448/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 2 of 8**Complete if Known**

Application Number	09/761,670
Filing Date	January 18, 2001
First Named Inventor	Jeff S. Eder
Art Unit	3624
Examiner Name	Jagdish Patel
Attorney Docket Number	AR - 16

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
<i>ML</i>		US- 6,078,901 - B1	6-20-2000	Ching, Hugh	
		US- 5,802,501 - B1	9-1-1998	Graff, Richard A.	
		US- 4,839,304 - B1	6-13-1989	Roberts et al	
		US- 6,148,293 - B1	11-14-2000	Douglas L. King	
		US- 5,742,775 - B1	04-21-1998	Douglas L. King	
		US- 5,802,501 - B1	09-01-1998	Graff, Richard	
		US- 6,084,972 - B1	05-16-2000	Jankowitz, et al	
		US- 6,263,314 - B1	07-17-2001	Donner, Irah H.	
		US- 6,278,981 - B1	08-21-2001	Dembo et al	
		US- 5,704,045 - B1	12-30-1997	King et al	
		US- 5,704,055 - B1	12-30-1997	George et al	
		US- 5,644,727 - B1	07-01-1997	Atkins, Charles Agee	
		US- 6,301,584 - B1	10-09-2001	Ranger, Denis	
		US- 6,064,971 - B1	05-16-2000	Hartnett, William	
		US- 6,418,448 - B1	07-09-2002	Sarkar, Shyam	
		US- 6,366,934 - B1	04-02-2002	Cheng et al	
		US- 6,209,124 - B1	03-27-2001	Vermeire et al	
		US- 6,189,011 - B1	02-13-2001	Lim et al	
		US- 5,406,477 - B1	04-11-1995	Harhen, John	

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ³
		Country Code ⁴ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature	<i>K. Chen</i>	Date Considered	4/14/06
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Substitute for form 1440/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	09/761,670
		Filing Date	January 18, 2001
		First Named Inventor	Jeff S. Eder
		Art Unit	3624
		Examiner Name	Jagdish Patel
Sheet <u>3</u> of <u>3</u>	Attorney Docket Number	AR - 18	

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
<i>mu</i>		US- 6,332,163 - B1	12-18-2001	Bowman, Amuah, Michel	
		US- 5,802,501 - B1	09-01-1998	Graff, Richard A.	
		US- 4,839,804 - B1	06-13-1989	Roberts et al	
		US- 6,092,056 - B1	07-18-2000	Tull Jr., et al	
		US- 5,706,495 - B1	01-16-1998	Chadha, et al	
		US- 5,649,181 - B1	07-15-1997	French et al	
		US- 5,471,611 - B1	11-28-1995	McGregor	
		US- 5,680,305 - B1	10-21-1997	Aggar, Mahlon	
		US- 5,406,477 - B1	04-11-1995	Harhen, John	
		US- 6,064,971 - B1	05-16-2000	Hartnett, William	
		US- 6,249,768 - B1	06-19-2001	Tulske Jr. et al	
		US- 5,774,873 - B1	03-29-1996	Berent, Thomas	
		US- 6,301,584 - B1	10-09-2001	Ranger, Denis	
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		Country Code ² Number ³ Kind Code ⁴ (if known)			

Examiner Signature	<i>JE. Chavez</i>	Date Considered	<i>9/14/05</i>
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		Application Number	09/761,670
		Filing Date	January 18, 2001
		First Named Inventor	Jeff S. Eder
		Art Unit	3624
		Examiner Name	Jagdish Patel
Sheet <u>4</u> of <u>8</u>	Attorney Docket Number	AR - 16	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>ML</i>	1	ZIPP, ALAN S.; Business valuation methods; 1993, AICPA	
<i> </i>	2	DAVIDOW, WILLIAM; Accounting systems are completely wrong, January 1995, Red Herring	
<i> </i>	3	MCTAGGERT, JAMES, KONTES, PETER AND MANKINS, MICHAEL, The value imperative, 1994, The Free Press	
<i> </i>	4	RAPPAPORT, ALFRED; Creating shareholder value; 1986, The Free Press	
<i> </i>	5	RITCHKEN, PETER, Options, Theory, Strategy and Applications, 1987, Foresman and Company	
<i> </i>	6	DIXIT, AVINASH & PINDYCK, ROBERT; Investment under uncertainty; 1994; Princeton University Press	
<i> </i>	7	GARSON, DAVID; Interpreting neural network connection weights, 4/1/1991, AI Expert	
<i> </i>	8	WELLSTEAD, STEPHEN; Neural network and fuzzy logic applications in C/C++; 1994; John Wiley & Sons	
<i> </i>	9	MOST, KENNETH; Accounting theory; 1977, Grid, Inc.	
<i>ML</i>	10	HENDRIKSEN, ELDEN, Accounting theory, 1982, Richard D. Irwin	

Examiner Signature <i>K. S. Chait</i>	Date Considered <u>4/14/06</u>
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		First Named Inventor	Jeff S. Eder		
		Art Unit	3624		
		Examiner Name	Jagdish Patel		
Sheets	5	of	8	Attorney Docket Number	AR - 16

NON PATENT LITERATURE DOCUMENTS			
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<i>JP</i>	11	KULKARNI, ARUN; Artificial neural networks for image understanding; 1/1/1994; Van Norstrand Reinhold	
	12	WARD SYSTEMS GROUP; NeuroWindows User Manual; 1993; Wards Systems Group	
	13	BREALEY, RICHARD & MYERS, STEWART; Principles of Corporate Finance; 1991; McGraw Hill	
	14	FAULKNER, TERRENCE; Applying options thinking to R&D valuation; 5/1/1996; Research Technology Manage	
	15	MILLER, MERTON & MODIGLIANI, FRANCO; Dividend policy, growth and the valuation of shares, 10/1/1961.	
	16	SIMENSKY, MELVIN & BRYER, LANNING; The new role of intellectual property in commercial transactions.	
	17	WILSON, ALBERT; Emerging approaches to impaired property valuation, 4/1/1996, Appraisal Journal, v64	
	18	BROWN, GORDON T, Free cash flow appraisal, a better way, 4/1/1996, Appraisal Journal, V64, number 2,	
	19	BUSINESS EDITORS & REAL ESTATE INDUSTRY WRITERS, EQK Really Investors I, 4/2/1992, Press Release	
<i>JP</i>	20	SWAD, RANDY, Business valuation, applicable standards for CPA's, 9/1/1995, CPA Journal v65, number 9	

Examiner Signature	<i>A.S. Ph...</i>	Date Considered	<i>8/14/06</i>
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		Examiner Name	Jagdish Patel
Sheet <u>6</u> of <u>8</u>	Attorney Docket Number	AR - 16	

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<i>JP</i>	21	REILLY, ROBERT; Valuation of intangibles for bankruptcy and reorganization purposes; 8/1/1994; Ohio	
	22	LIEBICH, KIM; How to value a bank; 8/1/1995; ABA Banking Journal	
	23	BAUMANN, BARBARA H & OXAAL, MARJORIE R; Estimating the value of a group medical practice, a primer;	
	24	QUINN, JAMES BRIAN, Intelligent Enterprise, Free Press, 1992	
	25	FRIEDMAN, RICHARD; Business valuation: calculating it right; 10/1/1994; Practical Accountant	
	26	MULLEN, MAGGIE; How to value intangibles; 11/1/1993; Accountancy	
	27	STEWART, THOMAS; Trying to grasp the intangible; 10/2/1995 Fortune	
	28	OUROSOFF, ALEXANDRA; What the world's top brands are worth; 9/1/1992; Finance World	
	29	PHILLIPS BUSINESS INFORMATION, INC.; Five ways to reduce risk with neural networks; 9/27/1993; Credi	
<i>JP</i>	30	LIPPITT, JEFFREY & MASTRACCHIO, NICHOLAS, Developing capitalization rates for valuing a business, 11	

Examiner Signature <i>JP</i>	Date Considered <i>8/14/06</i>
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Sheet <u>7</u> of <u>8</u>	Attorney Docket Number	AR - 16	

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<i>M</i>	31	HIRSCH, A. ETHAN, What's it worth?, 12/21/1992, Accounting Today, v6, number 24, p 16	
	32	MYERS, STEWART & HOWE, CHRISTOPHER; A life-cycle financial model of Pharmaceutical R&D; 4/1/1997; MIT	
	33	SIMON, CAROL J. & SULLIVAN, MARY W.; The Measurement and Determinants of Brand Equity; 10/1/1993; Ma	
	34	Svelby, Karl Erik & Mellander, Klas; Tango Learning Guide Version 2.1; 1994; Celemi	
	35	KAUFMAN, J. JERRY; Value Management; 1998; Crisp	
	36	HBS PRESS; Measuring Corporate Performance; 1998; HBS Press	
	37	KAPLAN, ROBERT & NORTON, DAVID; The Balanced Scorecard; 1996; HBS Press	
	38	MORRIS, HENRY; Extending the Business Process, 10/6/98, IDC Presentation	
	39	AMIR, ELI; & LEV, BARUCH, "Value-relevance of non-financial information", Journal of Accounting and	
<i>M</i>	40	ERNST & YOUNG, "Measures that Matter", 1997	

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**INFORMATION DISCLOSURE
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Application Number	09/761,670
	Filing Date	January 18, 2001
	First Named Inventor	Jeff S. Eder
	Art Unit	3624
	Examiner Name	Jagdish Patel
Sheet <u>8</u> of <u>8</u>	Attorney Docket Number	AR - 16

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>MJ</i>	41	SIMON, CAROL & SULLIVAN, MARY, "A Financial Approach to estimating firm level brand equity", 92-116	
<i> </i>	42	FARQUHAR, PETER & HAN, JULIE, "Recognizing and measuring your brand assets" 91-119, Mkt. Sci. Inst.	
<i> </i>	43	MAXSON, MARK; Will you get your money's worth?, 5/1/1993, Financial Executive	
<i> </i>	44	HAYES, ROBERT & ABERNATHY, WILLIAM, "Managing our way to economic decline", Harvard Business Review,	
<i>MJ</i>	45	MODIGLIANA, FRANCO & MILLER, MERTON, "Dividend Policy, Growth and the Valuation of Shares", Journal	

Examiner Signature	<i>H. Chen</i>	Date Considered	<i>9/14/05</i>
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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